

# COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

MAR 28 2012

Mail: 135 State House Station, Augusta, Maine 04333 Office: 45 Memorial Circle, Augusta, Maine Website: www.maine.gov/ethics

> PHONE: 207-287-4179 FAX: 207-287-6775

### STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2011 Calendar Year: January 1, 2011 - December 31, 2011

Please file this statement with the <u>Maine Ethics Commission</u> by **5:00 p.m. on April 13, 2012**. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

### **Reporting Deadlines**

- This personal financial disclosure statement must be filed annually by the Governor, constitutional
  officers, State Auditor, all state employees in major policy-influencing positions (other than assistant
  attorneys general), and any other executive branch employee who is appointed by the Governor and
  confirmed by the Legislature.
- The statement must be filed by the close of the second week of April and covers the preceding calendar year (the reporting year).
- No statement is required in April if the executive employee has already filed a statement covering the
  preceding year as an initial report. (Employees appointed by the Governor must file an initial report
  before confirmation by the Legislature, and the Governor, constitutional officers, and State Auditor
  must file an initial report within 30 days of his or her election.)
- If there is a substantial change in the sources of your income or positions <u>during the current</u> calendar year, file an "update statement" for the current year within 30 days of the substantial change.

#### **General Instructions**

□ Annual

Complete all sections. If a section is not applicable, check the box marked "None."

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Email Address Katherine, Leicken berg @maine.gov

- A glossary is located in the back of this form.
- · If completing this form by hand, please write legibly.

### REPORT TYPE

□ Update

EXECUTIVE EMPLOYEE INFOR	RMATION
Name Katherine J. Eickenberg	Job Title Acting Deputy Director Bur Parks + Lauss
Department Conservation	Phone (Work) 207-287-4963
Mailing Address 22 SHS, Angusta 0433	33-0022

None. Check this box if you do not have income from employment by another.			
Name of Employer	Address	Principal Type of Economic or Business Activity of Employer	Job Title

None. Check this box if you do not have income from self-employment.			
Name of Your Business/Trade Name	Address	Principal Type of Economic or Business Activity	
Name of Client or Customer, if required (see instructions)	Address	Principal Type of Economic or Business Activity of Client	

None. Check this box if you do not have income from the practice of law.				
Name of Practice or Firm	Address	Your Major Areas of Practice	Firm's Major Areas of Practice	Position: Partner, Associate, Sole Practitioner

e. Check this box if you do not h	ave income from any other source.		
Name of Source	Address	Type of Income	
1			

□ None. Check this box if no members of employment or compensation.	f your immediate family derived incom	e of \$1,000 or more from
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
Tom F. Eickenberg	Johnny's Selected Seeds 955 Bonton Ave Winslow, ME 04901	Retail/wholesale of Plant seeds
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None. Check this box if no members of your immediate family derived income of \$1,000 or more from any other source.			
Name of Spouse or Partner (do not list name of dependent child)	Source's Name and Address	Type of Income	

None. Check this box if you do not have reportable liabilities.			
Lender's Name	Lender's Address	Principal Type of Economic o Business Activity of Lender	

None. Check this box if you have not received any gifts.			
Source of Gift		Source of Gift	
1.	4.		
	, '		
2.	5.		
3.	6.		

None. Check this box if you have not received honoraria.		
Source of Honoraria	Source of Honoraria	
1.	4.	
2.	5.	
3.	6.	

Part 9-A. Conducting Business w				
None. Check this box if neither you	ı nor your immedial	te family have done	business with State	agencies.
Name of Agency		Name of Ind	ividual Selling Good	ds or Services
		1.1.111.111.1111.1111	<u> </u>	<u> </u>
	- Halling States		, and a	
Part 9-B. Representing Others Be	fore State Agenci	<b>9S</b>		
None. Check this box if neither you			sented another befo	ore a State agency.
Name of Agency		Name of Ind	ividual Receiving C	Compensation
Part 10. Positions in For-Profit an	d Non-Profit Orga	nizations		
None. Check this box if you and morprofit organizations.	embers your immed	diate family do not h	old positions in any	for-profit or non-
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No
			□ Self □ Spouse □ Dependent	
			□ Self □ Spouse □ Dependent	

## SIGNATURE

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWELDGE IT IS TRUE, CORRECT, AND COMPLETE.

Signature

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□ Self□ Spouse□ Dependent

UNSWORN FALSIFICATION IS A CLASS D CRIME (17-A M.R.S.A. §453)